



Elevate Account number

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Please read the Supplementary Guidance section when completing your answers for Country of Nationality, Employment Role, Occupation, Industry, Source of Wealth and Source of Funds.

Please complete all relevant parts of this form.

### Why are we asking you to complete this form?

We have to comply with anti-money laundering regulations – this includes maintaining up to date beneficial owner records for your Elevate clients (the 'investing entity').

### What is a beneficial owner and related party?

Under anti-money laundering legislation, a beneficial owner means any natural person(s) (an individual) who ultimately owns or controls an entity and/or the natural person(s) on whose behalf a transaction or activity is being conducted. Further detail is provided in the relevant sections below.

### What type of structure does the investing entity take?

Please indicate the type of entity you are completing this form on behalf of below with an X

- Trust (complete section 1)
- Listed company on a regulated market (complete section 2)
- Private limited company (complete section 2)
- Regulated company (complete section 3)

Note: If you are completing this form on behalf of a charity, please complete the section relevant to how the charity is set up.

### Completing the form

Please use black ink and BLOCK CAPITALS to fill in the forms. Do not use correction fluid if you make a mistake. If you need to correct a mistake, please initial any changes.



## Section 1: Trusts

### Investing entity

Trust name

Elevate Account  
number

E	L								
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### Beneficial owners of a Trust

Under anti-money laundering legislation the beneficial owners of a trust are the natural person(s) (individuals) who ultimately own or control the trust through direct or indirect ownership.

In the case of a trust, those natural person(s) linked to the trust hold any of the following roles:

- Trustee
- Beneficiary
- Settlor
- Protector
- Appointer

Please note not all trusts will hold the above roles. Please refer to the relevant trust deed(s) in the first instance; however, it would be expected in normal circumstances that settlor, trustee and beneficiary information is provided.

An individual can hold multiple roles; if this is the case, please ensure all relevant roles are indicated.

### Beneficiaries

There may or may not be named beneficiaries to the trust. This depends on the type of trust.

- Where individual(s) are identified as beneficiary to the trust, their details must be provided.
- Where the beneficiary to the trust is another entity, rather than an individual(s), we require full shareholder/beneficial ownership details of that entity, and any further entities in the corporate ownership structure, in addition to any individual(s) who then ultimately are beneficiary of the trust's holdings via shareholding through the corporate structure.
- Where no individual(s) are identified as beneficiary to the trust, please instead indicate the "class of beneficiaries".
- A trust may also name both individual beneficiaries and additional class(es) of beneficiaries. Where this is the case, please provide details in the relevant sections of the form.

Where a complex ownership structure exists, please provide us with an organisational chart (where applicable). If required, additional details in regards to a trust's structure and/or its beneficial owners can also be provided in a supplementary letter to the form or by supplying copies of the most up to date trust deed.

### Settlers

A settlor is the person(s) who funds the trust. Where there is no individual settlor, as in the case of some charities, details as to the type of trust and the source of the funding must be provided.

When there is a Will Trust, please identify the settlor(s) who have died marking them as deceased. Note: there is no need to provide a date of birth and/or address details for a deceased person.

### Protector

A Protector is someone who can be appointed by the Settlor to oversee the trustee.

The role of protector is usually, but not always, an office defined in the original trust instrument in order to confer specific powers to someone who is not also a trustee.

It is most commonly found in the context of offshore trusts, where the trustee is a professional.

### Appointer

An Appointer is someone who has the power to remove a trustee and appoint a new one. Appointers cater for situations such as the death or insolvency of a trustee.

The appointer may be an existing trustee, a named beneficiary or any other third party (excluding the Settlor).

## Section 1: Trusts – continued

### Source of Wealth

Source of Wealth is different to Source of Funds as it is where all your clients accumulated wealth across all of their products have come from. For example Source of Funds could be accumulated from income on your clients ISA but Source of Wealth could be accumulated from income, inheritance and the sale of a business across multiple products.

Please provide details of how the wealth was acquired for the trust?

### Source of Funds

Source of Funds is where the money invested in a product has come from. For example it could be savings your clients have accumulated from a fixed portion of their income every month for investment purposes and/or inherited wealth. It is not the bank account where the money has come from.

Please explain the nature of how it was funded?

Please confirm if the funds used for the investment come from the UK?

Yes

No

If no please specify the origin country

### TRS Trust Register

Please confirm if the trust is registered on the TRS Trust Register. Certain trusts need to be registered before we can accept new business. Details of trusts that need to be registered can be found here [gov.uk/hmrc-internal-manuals/trust-registration-service-manual/trsm10030](https://www.gov.uk/hmrc-internal-manuals/trust-registration-service-manual/trsm10030)

Yes

No

If yes, please provide proof of registration. The proof must be the excerpt of the register. HMRC state a PDF can be downloaded/printed at the end of the application. New Trusts must use the date of the deed for the registration of the trust. <https://www.gov.uk/guidance/manage-your-trusts-registration-service>

If No, please provide rationale why the trust is excluded from the TRS.



Where the trustee of the trust is a company, please also complete the Professional Trustee section below.

### Section 1: Trusts - continued

#### Personal details for beneficial owner(s) of a trust - Individual 1:

Where individuals hold multiple roles within the trust all relevant boxes must be ticked.

Trustee    Beneficiary    Settlor    Protector    Appointer

Where the individual is a beneficiary, please provide the percentage share of the trust property the beneficiary is entitled to, if defined in the trust deed.

%

Title

(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth

(DD/MM/YYYY)

First name(s) in full

Surname

Country of  
Nationality

Employment status

Employed    Self-employed    Retired    Unemployed

Employment role

Industry

Section 1: Trusts – continued

Personal details for beneficial owner(s) of a trust – Individual 2:

Where the trustee of the trust is a company or partnership, please also complete Professional Trustee section below.

Where individuals hold multiple roles within the trust all relevant boxes must be ticked.

Trustee    Beneficiary    Settlor    Protector    Appointer

Where the individual is a beneficiary, please provide the percentage share of the trust property the beneficiary is entitled to, if defined in the trust deed.  %

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)       Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Country of Nationality

Employment status  
 Employed    Self-employed    Retired    Unemployed

Employment role

Industry

Section 1: Trusts – continued

Personal details for beneficial owner(s) of a trust – Individual 3:

Where the trustee of the trust is a company or partnership, please also complete Professional Trustee section below.

Where individuals hold multiple roles within the trust all relevant boxes must be ticked.

Trustee    Beneficiary    Settlor    Protector    Appointer

Where the individual is a beneficiary, please provide the percentage share of the trust property the beneficiary is entitled to, if defined in the trust deed.  %

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)       Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Country of Nationality

Employment status  
 Employed    Self-employed    Retired    Unemployed

Employment role

Industry

Section 1: Trusts – continued

**Personal details for beneficial owner(s) of a trust – Individual 4:**

Where the trustee of the trust is a company or partnership, please also complete Professional Trustee section below.

Where individuals hold multiple roles within the trust all relevant boxes must be ticked.

Trustee    Beneficiary    Settlor    Protector    Appointer

Where the individual is a beneficiary, please provide the percentage share of the trust property the beneficiary is entitled to, if defined in the trust deed.  %

Title (Mr/Mrs/Miss/Ms/Other eg Dr/Rev)       Date of birth (DD/MM/YYYY)

First name(s) in full

Surname

Country of Nationality

Employment status  
 Employed    Self-employed    Retired    Unemployed

Employment role

Industry

**Non-individual settlor**

Where a settlor has not been identified, please provide details as to the type of trust to explain the nature of how it was funded.



If there are more beneficial owners within the trust, please photocopy this page.

## Section 1: Trusts – continued

### Professional Trustee Entity

Only complete this section if there is a Professional Trustee company or partnership linked to the trust.

Entity name

Registered address

Registration number

Regulated status  Yes  No

Where regulated, please confirm:

Regulated by (where applicable)

Regulated ID number (where applicable)

### Class of beneficiaries

If the trust doesn't have named beneficiaries, please confirm the class of persons in whose main interest the trust is set up. This could be grandchildren or, in the case of a charity, the types of individuals or organisations it is set up to support.

Class of beneficiaries

### Privacy notice

Our privacy notice explains how we use personal information. It explains when and why we collect and use personal information, who we may share it with (and why) and how we protect it. It also explains how individuals can exercise their rights under data protection law including how to request a copy of the personal information processed by us.

For more information on how we process personal information, please see our privacy notice

[aberdeenpersonal.com/en-gb/platforms-privacy](https://aberdeenpersonal.com/en-gb/platforms-privacy)



Where multiple classes of beneficiaries are identified within the trust deed, please provide full details. Please copy this page as necessary or add an accompanying letter or send a copy of the trust deed.

Section 1: Trusts – continued

IFA details

**Please read and sign below**

I confirm that the information provided in this form is correct and agree to inform Elevate Portfolio Services promptly if any of the information changes.

I confirm that I have the necessary authority to provide the information on this form for those named as beneficial owners in line with the Elevate Platform adviser terms of business.

Adviser name

Adviser firm

Firm FCA number

Signature

Date  
(DD/MM/YYYY)





The following section is to be completed on behalf of all companies not listed on a regulated market

**Section 2: Companies – continued**

**Part 2: Personal details for individual beneficial owner(s) – Individual 1:**

If there are more than four individuals, please photocopy these pages.

Shareholder     Director/Controller

Title (Mr/Mrs/Miss/Ms/Other eg Dr/Rev)       Date of birth (DD/MM/YYYY)

First name(s) in full

Surname

**Residential address**

House number or name

Street

City/Town       Postcode

Country of Nationality

Please provide one government issued ID (and ID number if exists):

National Insurance number   
 Passport number   
 Driving License number   
 Social Security number

Employment status:

Employed     Self-employed     Retired     Unemployed

Employment role

Industry

Position in Company

For shareholders only:  
What % of shareholding / voting rights of the company named above in this section do they own (directly or indirectly)  %

Section 2: Companies - continued

Part 2: Personal details for individual beneficial owner(s) - Individual 2:

If there are more than four individuals, please photocopy these pages.

Shareholder  Director/Controller

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Residential address

House number  
or name

Street

City/Town

Postcode

Country of  
Nationality

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

For shareholders only:

What % of shareholding / voting rights of the company named above in this section  
do they own (directly or indirectly)

Section 2: Companies - continued

Part 2: Personal details for individual beneficial owner(s) - Individual 3:

If there are more than four individuals, please photocopy these pages.

Shareholder  Director/Controller

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Residential address

House number  
or name

Street

City/Town

Postcode

Country of  
Nationality

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

For shareholders only:

What % of shareholding / voting rights of the company named above in this section  
do they own (directly or indirectly)

Section 2: Companies - continued

Part 2: Personal details for individual beneficial owner(s) - Individual 4:

If there are more than four individuals, please photocopy these pages.

Shareholder  Director/Controller

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Residential address

House number  
or name

Street

City/Town

Postcode

Country of  
Nationality

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

For shareholders only:

What % of shareholding / voting rights of the company named above in this section  
do they own (directly or indirectly)



Please provide details of the ultimate beneficial owner(s) of this company in the individual beneficial owners section above.

Please provide beneficial ownership details for the shareholding trust(s) in "Section 1 – Trusts".

If there are more companies or trusts within the ownership structure, please photocopy this page.

### Corporate shareholder(s)

Please provide the information of any corporate shareholder(s).

Company name

What % of shares/voting rights of the investing entity does the corporate shareholder have?  %

### Registered office address

Building number or name

Street

City/Town  Postcode

Company registration no.

Contact name

Contact job title

### Principal place of business address (if different to the registered address)

Building number or name

Street

City/Town  Postcode

### Trust shareholder

Please provide the information of any trust(s) with a shareholding here.

Trust name

What % of shares/voting rights of the investing entity does the trust have?  %

**Bearer Shares**

These are shares whose ownership is demonstrated in the form of a physical certificate. These may be registered (where the legal custodian of the instrument is known) or un-registered (where the legal custodian of the instrument is not known). In many jurisdictions bearer shares are no longer issued.

Please confirm if you are in receipt of bearer shares?

Yes     No

If No please move onto the Authorised Representatives section

If Yes please confirm if the bearer shares are:

Registered     Unregistered

**Registered bearer shares**

Confirm ownership percentage held by each owner.

%  
  %

Confirm the amount and to whom the shares have been issued/given.

£  .    
£  .

Obtain proof of custodian's registered or regulated status, if applicable.

Any changes in ownership structure of the named custodian needs to be notified to us.

**Unregistered bearer shares**

Confirm ownership percentage held by each owner.

%  
  %

Confirm the amount and to whom the shares have been issued/given.

£  .    
£  .

Any changes in ownership structure or the named custodian needs to be notified to us.



If there are additional owners please photocopy this page.

### Authorised representatives

An Authorised Representative is someone who has the authority to act on behalf of your client. This includes for example, attorneys, guardians and executors (deceased is an existing customer).

List the name(s) of the authorised representative(s) of the trust.

If there are more please photocopy these pages.

#### Authorised representative 1

Full Legal name

Date of birth   
(DD/MM/YYYY)

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of Nationality

#### Residential address

House number or name

Street

City/Town  Postcode

#### Authorised representative 2

Full Legal name

Date of birth   
(DD/MM/YYYY)

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of Nationality

#### Residential address

House number or name

Street

City/Town  Postcode

## Authorised signatories

An authorised signatory is someone who has been given the authority to sign a legally binding documents on behalf of another corporate entities. For the purposes of this form an authorised signatory is considered a 'Related Party'. Where the corporate entity has a number of signatories we will only require a minimum of one to two primary signatories details. How you determine which signatories will be based on their hierarchal position or the likelihood of the individual acting on the account.

### Primary authorised signatory:

This form should be signed where indicated below by the primary authorised signatory of the invested entity.

Full Legal name

Date of birth  
(DD/MM/YYYY)

Please provide one government issued ID (and ID number if exists):

- National Insurance number
- Passport number
- Driving License number
- Social Security number

Country of Nationality

### Residential address

House number or name

Street

City/Town  Postcode

Signature

Date  
(DD/MM/YYYY)

Authorised signatories - continued

**Secondary authorised signatory:**

This form should be signed where indicated below by the Secondary authorised signatory of the invested entity

Full Legal name

Date of birth  
(DD/MM/YYYY)

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of Nationality

**Residential address**

House number or name

Street

City/Town

Postcode

Signature

Date  
(DD/MM/YYYY)

## Section 2: Companies - continued

### Privacy notice

Our privacy notice explains how we use personal information. It explains when and why we collect and use personal information, who we may share it with (and why) and how we protect it. It also explains how individuals can exercise their rights under data protection law including how to request a copy of the personal information processed by us.

For more information on how we process personal information, please see our privacy notice

[aberdeenpersonal.com/en-gb/platforms-privacy](https://aberdeenpersonal.com/en-gb/platforms-privacy)

### IFA details

#### Please read and sign below

I confirm that the information provided in this form is correct and agree to inform Elevate Portfolio Services Limited promptly if any of the information changes.

I confirm that I have the necessary authority to provide the information on this form for those named as beneficial owners in line with the Elevate Platform adviser terms of business.

Adviser name

Adviser firm

Firm FCA number

Signature

Date  
(DD/MM/YYYY)

### Section 3: Regulated companies

Regulated companies are registered and regulated by a financial regulatory body e.g. the Financial Conduct Authority ('FCA'). Companies of this nature may invest via the Platform. Investments may either be made in the company's name or by the company of their underlying clients.

It is important to understand in which capacity regulated companies are investing and to seek appropriate information on the beneficial owners accordingly.

#### Beneficial owners of a company investing on behalf of underlying clients in a regulated capacity

If the regulated entity is investing on behalf of others in their capacity as a regulated company then we are required to identify any natural person(s) who ultimately have beneficial ownership of 25% or more of the investments placed on their behalf (an Ultimate Beneficial Owner ('UBO')).

#### Beneficial owners of a company investing on its own behalf

Under anti-money laundering legislation, a beneficial owner of a company is any natural person (s) who ultimately owns or controls the company through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interests in the company, including through bearer shareholdings, or control by other means.

We therefore require information for both:

- Directors (or persons otherwise in control) of the investing entity: and
- Individual shareholders who own 25% or more of the shares or voting rights of the investing entity, either directly or indirectly through ultimate ownership.

#### Corporate or Trust ownership

Where companies or trusts own the shares, we will need the complete ownership structure for the investing entity; we also need details of the individuals who ultimately have beneficial ownership of the investment.

Where a complex corporate structure exists, please provide us with a full organisational chart and we can help you complete the form. Additional details regarding corporate shareholder and the ultimate beneficial owners can be sent in a supplementary letter.

#### Investing entity

Company name

Country of incorporation

Date of incorporation (DD/MM/YYYY)

Elevate plan number

Name of regulated entity (if different to above)

Financial regulator

Regulator reference number

Investing on behalf of underlying clients (complete part 1)

Investing on behalf of the company (complete part 2)

**Note:** If the investing entity is a subsidiary or nominee of a regulated entity, please provide a corporate structure chart and attest below that the subsidiary/nominee follows the regulated entity's policies and procedures.

Section 3: Regulated companies - continued

Part 1: Investing on behalf of underlying investors

To be completed if the regulated company is investing on behalf of underlying investors

Ultimate Beneficial Owner - **\*\*note\*\* Please only tick one**

There are no underlying investors who own or control 25% or more of the holdings in the account.  
or

There are underlying investors who own or control 25% or more of the holdings in the account. Their details are provided below.

Individual 1:

Title  
(Mr/Mrs/Miss/Ms/  
Other eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Residential address

House number  
or name

Street

City/Town

Postcode

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of  
Nationality

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

What % of the investment do they own?

%



If there are more than two individuals, please photocopy this page.

Section 3: Regulated companies - continued

Part 1: Investing on behalf of underlying investors - continued

Individual 2:

Title (Mr/Mrs/Miss/Ms/Other eg Dr/Rev)  Date of birth (DD/MM/YYYY)

First name(s) in full

Surname

Residential address

House number or name

Street

City/Town  Postcode

Please provide one government issued ID (and ID number if exists):

National Insurance number   
 Passport number   
 Driving License number   
 Social Security number

Country of Nationality

Employment status

Employed  Self-employed  Retired  Unemployed

Employment role

Industry

Position in Company

What % of the investment do they own?    %

**Policies and Procedures Attestation**

**I attest to the following:**

- i. The investing entity has established appropriate Anti-Money Laundering/Counter Terrorism Financing (AML/CTF) policies, procedures and internal controls to ensure ongoing compliance with applicable AML/CTF and economic sanctions laws and regulations and in line with FATF standards;
- ii. The investing entity's AML/CTF policies and procedures incorporate controls to identify and verify the investing entity's clients, and their beneficial owners and controlling parties using a risk-based approach. This includes (i) ongoing client due diligence, (ii) obtaining information on the purpose and intended nature of the business relationship, (iii) regular AML/CTF monitoring and sanction screening against various sanctions lists, including those produced by HM Treasury, OFAC, UN and European Union, (iv) staff AML/CTF training and (v) detecting, investigating and, as required, reporting any suspicious transactions and activities to the relevant authorities;
- iii. The investing entity has systems and controls in place to identify Politically Exposed Persons, their relatives and close associates (PEPs). Once identified they undertake enhanced due diligence and enhanced ongoing monitoring of these clients;
- iv. The investing entity retains all verification documentation, including any beneficial owner and controlling party information, on the investing entity's clients for at least 7 years following the termination of the business relationship. Where required, the investing entity will furnish Elevate Portfolio Services Limited or any competent law enforcement agency or regulator, with the documentary evidence retained, where the request is made within a reasonable time period;
- v. The investing entity does not do business with shell banks.

Please tick to confirm

Section 3: Regulated companies - continued

Part 2: Investing on behalf of the company

Personal details for individual beneficial owner(s)

Individual 1:

Shareholder  Director/Controller

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Address

House number  
or name

Street

City/Town

Postcode

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of  
Nationality

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

What % of shareholding/voting rights of the investing entity do they own?

%

Section 3: Regulated companies - continued

**Individual 2:**

Shareholder  Director/Controller

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

**Address**

House number  
or name

Street

City/Town

Postcode

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of  
Nationality

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

What % of shareholding/voting rights of the investing entity do they own?

%

Section 3: Regulated companies - continued

**Individual 3:**

Shareholder  Director/Controller

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

**Address**

House number  
or name

Street

City/Town

Postcode

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of  
Nationality

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

What % of shareholding/voting rights of the investing entity do they own?

 %

Section 3: Regulated companies - continued

Individual 4:

Shareholder  Director/Controller

Title  
(Mr/Mrs/Miss/Ms/Other  
eg Dr/Rev)

Date of birth  
(DD/MM/YYYY)

First name(s) in full

Surname

Address

House number  
or name

Street

City/Town

Postcode

Please provide one government issued ID (and ID number if exists):

National Insurance number

Passport number

Driving License number

Social Security number

Country of  
Nationality

Employment status:

Employed

Self-employed

Retired

Unemployed

Employment role

Industry

Position in Company

What % of shareholding/voting rights of the investing entity do they own?

%



If there are more than four, please photocopy this page.



Please provide details of the ultimate beneficial owners of this company in the "Individual Beneficiaries section" above.

### Section 3: Regulated companies – continued

#### Corporate shareholder – company 1

Please provide the information of any corporate shareholders here.

If there are more corporate shareholders within the ownership structure, please photocopy this page.

Company name

What % of shares/voting rights of the investing entity does the corporate shareholder have?  %

#### Registered office address

Building number or name

Street

City/Town  Postcode

Company registration no.

Contact name

Contact job title

#### Principal place of business address (if different to the registered address)

Building number or name

Street

City/Town  Postcode



Please provide beneficial ownership details for the shareholding trust(s) in "Section 1 – Trusts".  
If there are more trusts within the ownership structure, please photocopy this page.

### Section 3: Regulated companies – continued

#### Trust shareholder – company 2

Please provide the information of any trust shareholders here.

Trust name

What % of shares/voting rights of the investing entity does the trust have?  %

#### Privacy notice

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[aberdeenpersonal.com/en-gb/platforms-privacy](http://aberdeenpersonal.com/en-gb/platforms-privacy)

#### IFA details

##### Please read and sign below

I confirm that the information provided in this form is correct and agree to inform Elevate Portfolio Services Limited promptly if any of the information changes.

I confirm that I have the necessary authority to provide the information in this form for those named as beneficial owners in line with the Elevate Platform adviser terms of business.

Adviser name

Adviser firm

Firm FCA number

Adviser signature

Date (DD/MM/YYYY)

# Supplementary Guidance



We've provided you with a list of standardised options in which to write your answer in the spaces provided.

Please refer to these tables when completing this form by hand. For example: when asked to provide us with your Nationality, please choose from **Nationality options** to complete your answer.

## Employment Role options

- Administrative Occupations: Finance
- Administrative Occupations: Government and Related Organisations
- Administrative Occupations: Office Managers and Supervisors
- Administrative Occupations: Records
- Agricultural and Related Trades
- Animal Care and Control Services
- Architects, Chartered Architectural Technologists, Planning Officers, Surveyors and Construction Professionals
- Artistic, Literary and Media Occupations
- Assemblers and Routine Operatives
- Bed and Breakfast and Guest House Owners and Proprietors
- Building Finishing Trades
- Business and Financial Project Management Professionals
- Business Associate Professionals
- Business, Research and Administrative Professionals
- CAD, Drawing and Architectural Technicians
- Caring Personal Services
- Chief Executives and Senior Official
- Cleaning and Housekeeping Managers and Supervisors
- Community and Civil Enforcement Occupations
- Conservation and Environment Professionals
- Construction and Building Trades
- Construction and Building Trades Supervisors
- Construction Operatives
- Customer Service Occupations
- Customer Service Supervisors
- Design Occupations
- Directors in Logistics, Warehousing and Transport
- Electrical and Electronic Trades
- Elementary Administration Occupations
- Elementary Administration Occupations
- Elementary Agricultural Occupations Elementary Cleaning Occupations
- Elementary Construction Occupations
- Elementary Process Plant Occupations
- Elementary Sales Occupations
- Elementary Security Occupations
- Elementary Storage Occupations
- Engineering Professionals
- Finance Associate Professionals
- Finance Professionals
- Food Preparation and Hospitality Trades
- Functional Managers and Directors

## Employment Role options – continued

- Hairdressers and Related Services
- Health and Social Services Managers and Directors
- Health Associate Professionals
- Housekeeping and Related Services
- HR, Training and Other Vocational Associate Guidance Professionals
- Information Technology Professionals
- Information Technology Technicians
- Legal Associate Professionals
- Legal Professionals
- Leisure and Travel Services
- Librarians and Related Professionals
- Managers and Directors in Retail and Wholesale
- Managers and Proprietors in Agriculture Related Services
- Managers and Proprietors in Health and Care Services
- Managers and Proprietors in Hospitality and Leisure Services
- Managers and Proprietors in Other Services Managers in Logistics, Warehousing and Transport
- Media Professionals
- Medical Practitioners
- Managers in Logistics, Warehousing and Transport
- Metal Working Machine Operatives
- Mobile Machine Drivers and Operatives
- Natural and Social Science Professionals
- Not Applicable
- Nursing Professionals
- Other Administrative Occupations
- Other Drivers and Transport Operatives
- Other Educational Professionals
- Other Elementary Services Occupations
- Other Health Professionals
- Other Skilled Trades
- Plant and Machine Operatives
- Printing Trades
- Process Operatives
- Production Managers and Directors
- Production, Factory and Assembly Supervisors
- Protective Service Occupations
- Public Services Associate Professionals
- Quality and Regulatory Professionals
- Regulatory Associate Professionals
- Research and Development (R&D) and Other Research Professionals
- Road Transport Drivers
- Sales Assistants and Retail Cashiers
- Sales, Marketing and Related Associate Professionals
- Sales, Marketing and Related Associate Professionals – Estate Agents and Auctioneers
- Science, Engineering and Production Technicians
- Secretarial and Related Occupations
- Senior Officers in Protective Services
- Shopkeepers and Sales Supervisors
- Skilled Metal, Electrical and Electronic Trades Supervisors
- Sports and Fitness Occupations
- Teaching and Childcare Associate Professionals
- Teaching and Childcare Support Occupations
- Teaching Professionals
- Textiles and Garments Trades
- Therapy Professionals
- Transport Associate Professionals
- Vehicle Trades
- Veterinarians
- Veterinary Nurses
- Web and Multimedia Design Professionals
- Welfare and Housing Associate Professionals
- Welfare Professionals
- Other

## Occupation Industry options

- Adult entertainment
- Aerospace & Defense
- Air Freight & Logistics
- Airlines
- Auto Components
- Automobiles
- Banks
- Beverages
- Biotechnology
- Building Products
- Capital Markets
- Chemicals
- Commercial Services & Supplies
- Communications Equipment
- Construction & Engineering
- Construction Materials
- Consumer Finance
- Containers & Packaging
- Distributors
- Diversified Financial Services
- Diversified Telecommunication Services
- Electric Utilities
- Electrical Equipment
- Electronic Equipment, Instruments & Components
- Energy Equipment & Services
- Entertainment
- Entertainment Sports
- Equity Real Estate Investment Trusts (REITs)
- Food & Staples Retailing
- Food Products
- Foreign worker agency
- Gas Utilities
- Health Care Equipment & Supplies
- Health Care Providers & Services
- Health Care Technology
- Hotels, Restaurants & Leisure
- Household Durables
- Household Products
- Independent Power and Renewable Electricity Producers
- Insurance
- Interactive Media & Services
- Internet & Direct Marketing Retail
- IT Services
- Leisure Products
- Life Sciences Tools & Services
- Luxury Goods
- Machinery
- Marine
- Media
- Metals & Mining
- Money Service Business
- Mortgage Real Estate Investment Trusts (REITs)
- Multiline Retail
- Multi-Utilities
- Non-Profit Organizations (NPOs)
- Not Applicable
- Oil, Gas & Consumable Fuels
- Paper & Forest Products
- Pension Fund
- Personal Products
- Pharmaceuticals
- Private Education
- Private Equity
- Professional Services
- Public Education
- Real Estate Management & Development
- Regulated Casino & Game
- Resource Services
- Road & Rail
- Semiconductors & Semiconductor Equipment
- Software
- Sovereign Wealth Funds (SWFs)
- Specialty Retail
- State Pension Fund
- Technology Hardware, Storage & Peripherals
- Textiles, & Apparel
- Thrifts & Mortgage Finance
- Tobacco
- Trading Companies & Distributors
- Transportation Infrastructure
- Trust and Company Service Providers (TSCPs)
- Unlicensed Banks
- Unregulated Casino & Game
- Water Utilities
- Wireless Telecommunication Services

**Origin of Source of Wealth options**  
**Origin of Source of Funds options**

- Dividends or company profits
- Divorce
- Earnings
- Gift
- Inheritance
- Insurance Claims
- Loan
- Lottery/Gambling Win
- Maturity/surrender of Life Assurance
- Other court award (e.g compensation)
- Sale of investments
- Sale of property/business
- Savings
- Other

**Primary Nationality options**  
**Source of Funds Country options**

- United Kingdom
- Afghanistan
- Albania
- Algeria
- Andorra
- Angola
- Anguila
- Antigua & Barbuda
- American Samoa
- Argentina
- Australia
- Austria
- Azerbaijan
- Bahamas
- Bahrain
- Bangladesh
- Barbados
- Belarus
- Belgium
- Belize
- Benin
- Bermuda
- Bhutan
- Bolivia
- Bosnia & Herzegovina
- Botswana
- Brazil
- British overseas territory
- British Virgin Isles
- Brunei Darussalam
- Bulgaria
- Burkina Fas
- Cambodia
- Cameroon
- Canada Cayman Islands
- Central African Republic
- Chile
- China, People's Republic of
- Colombia
- Congo Brazzaville (Republic of Congo)
- Congo, Democratic Republic of
- Cook Islands
- Costa Rica
- Croatia
- Cuba
- Cyprus
- Czech Republic
- Denmark
- Dominica
- Dominican Republic
- Dutch Caribbean (The Netherlands Antilles)
- Ecuador
- Egypt
- El Savador
- Eritrea
- Estonia
- Eswatini (was Swaziland - changed name September 2018)
- Ethiopia
- Fiji
- Finland
- France
- French Overseas Territories
- Gambia
- Germany
- Ghana
- Gibraltar
- Greece
- Guam
- Guatemala
- Guernsey
- Guinea
- Guinea-Bissau
- Guyana
- Haiti
- Honduras
- Hong Kong
- Hungary
- Iceland
- India
- Indonesia
- Iran
- Iraq
- Ireland (Republic of Ireland)
- Isle of Man
- Israel
- Italy
- Ivory Coast (Cote d'Ivoire)
- Jamaica
- Japan
- Jersey
- Jordan
- Kazakhstan

**Primary Nationality options - continued****Secondary Nationality options - continued****Source of Funds Country options - continued**

- Kenya
- Kosovo
- Kuwait
- Kyrgyzstan
- Latvia
- Lebanon
- Lesotho
- Liberia
- Libya
- Liechtenstein
- Lithuania
- Laos
- Luxembourg
- Oman
- Pakistan
- Palau
- Palestine
- Panama
- Papua New Guinea
- Paraguay
- Peru
- Philippines
- Poland
- Portugal
- Macau
- Malawi
- Malaysia
- Maldives
- Mali
- Malta
- Mauritius
- Mexico
- Moldova (Republic of Moldova)
- Monaco
- Mongolia
- Montenegro
- Morocco
- Myanmar (Burma)
- Namibia
- Nauru
- Nepal
- Netherlands
- New Zealand
- Nicaragua
- Nigeria
- North Korea (Democratic People's Republic of Korea)
- Norway
- Puerto Rico (USA)
- Qatar
- Romania
- Russia (Russian Federation)
- Samoa (previously known as West Samoa)
- San Marino
- Sao Tome Et Principe
- Saudi Arabia (Kingdom of)
- Senegal
- Serbia
- Seychelles
- Singapore
- Saint Martin
- Slovakia
- Slovenia
- Somalia
- South Africa
- South Korea (Republic of Korea)
- South Sudan
- Spain
- Sri Lanka
- St Kitts & Nevis
- St Lucia
- Sudan
- Sweden
- Switzerland
- Syria
- Taiwan (Chinese Taipei)
- Tajikistan
- Tanzania
- Thailand
- Timor-Leste
- Trinidad & Tobago
- Tunisia
- Turkey
- Turkmenistan
- Uganda
- Ukraine
- United Arab Emirates
- United States of America
- Uruguay
- US Virgin Islands (USA)
- Uzbekistan
- Vanuatu
- Vatican City (Holy See)
- Venezuela
- Vietnam
- Yemen
- Zambia
- Zimbabwe
- Not Applicable

For more information visit [aberdeenadviser.com](http://aberdeenadviser.com)

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